

11/16/00

11-20-00

PTO/SB/05 (11-00)

10957 U.S. PRO

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	042390.P8276
First Inventor	Anne E. Miller
Title	SLURRY AND METHOD FOR CHEMICAL MECHANICAL POLISHING
Express Mail Label No.	EL034435664US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
 - Oath or Declaration [Total Pages 4]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

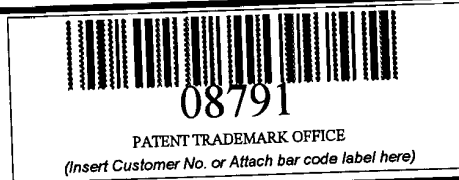
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application Information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



or ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Donna Jo Coningsby	Registration No. (Attorney/Agent)	41,684
Signature	<i>Donna Jo Coningsby</i>	Date	11/16/00

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**FEE TRANSMITTAL
for FY 2000**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,156.00**Complete if Known**

Application Number	
Filing Date	November 16, 2000
First Named Inventor	Anne E. Miller
Examiner Name	
Group/Art Unit	
Attorney Docket No.	042390.P8276

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.☐ Applicant claims small entity status. See 37 CFR 1.27.

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****BASIC FILING FEE**

Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
		(\$)	Code	(\$)		
1		710	201	355	Utility filing fee	710.00
2		320	206	160	Design filing fee	
3		490	207	245	Plant filing fee	
4		710	208	355	Reissue filing fee	
5		150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00**EXTRA CLAIM FEES**

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
		(\$)	Code	(\$)		
1		27	20**	7	X	18.00 = \$126.00
2		7	3**	4	X	80.00 = \$320.00

*For number previously paid, if greater, For Reissues, see below

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
		(\$)	Code	(\$)		
103		18	203	9	Claims in excess of 20	
102		80	202	40	Independent claims in excess of 3	
104		260	204	135	Multiple Dependent claim, if not paid	
109		80	209	40	**Reissue independent claims over original patent	
110		18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 446.00**FEE CALCULATION (continued)****3. ADDITIONAL FEE**

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
		Code	(\$)	Code	(\$)	
105		130	205	65	Surcharge - late filing fee or oath	
127		50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139		130	139	130	Non-English specification	
147		2,520	147	2,520	For filing a request for reexamination	
112		920*	112	920*	Requesting publication of SIR prior to Examiner action	
113		1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115		110	215	55	Extension for response within first month	
116		390	216	195	Extension for response within second month	
117		890	217	445	Extension for response within third month	
118		1,390	218	695	Extension for response within fourth month	
128		1,890	228	945	Extension for response within fifth month	
119		310	219	155	Notice of Appeal	
120		310	220	155	Filing a brief in support of an appeal	
121		270	221	135	Request for oral hearing	
138		1,510	138	1,510	Petition to institute a public use proceeding	
140		110	240	55	Petition to revive - unavoidable	
141		1,240	241	620	Petition to revive - unintentional	
142		1,240	242	620	Utility issue fee (or reissue)	
143		440	243	220	Design issue fee	
144		600	244	300	Plant issue fee	
122		130	122	130	Petitions to the Commissioner	
123		130	123	130	Petitions related to provisional applications	
126		180	126	180	Submission of Information Disclosure Stmt	
581		40	581	40	Recording each patent assignment per property (times number of properties)	
146		710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149		710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179		710	126	355	Request for Continued Examination (RCE)	
169		900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**

Name (Print/Type) Donna Jo Coningsby

Registration No. (Attorney/Agent)

41,684

Telephone

(503) 684-6200

Signature

Donna Jo Coningsby

Date

11/16/00

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